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Attorney's Docket No.: 12875-002001 / 0643-5299US

*\$ 1636*

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Wei-Yu Lo et al.  
Serial No. : 09/778,516  
Filed : February 7, 2001  
Title : LAC SHUTTLE VECTORS

Art Unit : 1636  
Examiner : Daniel M. Sullivan

**MAIL STOP DAC**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF PETITION**  
**FOR CORRECTION OF INVENTORSHIP**

Applicants hereby submit a Petition for Correction of Inventorship under 37 C.F.R. § 1.48(a), verified by the deleted inventor and consented to by the assignee, and a check for \$130.00 in payment of the fee required under 37 C.F.R. § 1.17(i).

Also filed herewith are a Combined Declaration and Power of Attorney signed by the correct inventors and a Conditional Preliminary Amendment, both submitted to reflect the change of inventorship.

Please charge any additional fees to Deposit Account No. 06-1050.

Date: 3-23-04

*Y. Rocky Tsao*  
Y. Rocky Tsao, Ph.D., J.D.  
Attorney for Applicants  
Reg. No. 34,053

Fish & Richardsón P.C.  
225 Franklin Street  
Boston, Massachusetts 02110-2804  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906  
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## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

March 23, 2004  
Date of Deposit

Deborah R. West  
Signature

Deborah R. West  
Typed or Printed Name of Person Signing Certificate



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**PETITION FOR CORRECTION  
OF INVENTORSHIP UNDER 37 C.F.R. § 1.48(a)**

Pursuant to 37 CFR § 1.48(a), Applicants hereby petition that the name of Ming Ching Lo as a joint inventor be deleted based on the facts set forth by Ming Ching Lo in "STATEMENT under 37 CFR § 1.48(a)(1)" submitted herewith. Also submitted herewith are a signed consent by the assignee and a new Combined Declaration and Power of Attorney signed by the correct inventors.

Enclosed is a check for \$130.00 for the required fee. Please apply any other charges to our Deposit Account 06-1050, referencing Attorney Docket No. 12875-002001.

Date: 3-23-04

*Y. Rocky Tsao*  
Y. Rocky Tsao, Ph.D., J.D.  
Attorney for Applicants  
Reg. No. 34,053

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**STATEMENT UNDER 37 CFR § 1.48(a)(1)**

I, Ming Ching Lo, hereby petition to correct inventorship and declare:

1. I am not an original co-inventor of the above-captioned patent application:

Title of Invention: "LAC SHUTTLE VECTORS"

Patent Application Serial No.: 09/778,516

2. The co-inventors are Wei-Yu Lo and Pei-Ru Liau for the patent application.
3. This error was discovered only after the application was filed and all statements made herein either of my own knowledge or based on information and belief are true or believed to be true, and are made with full knowledge of Section 1001 of Title 18 of the United States Code.

Date: Feb. 5, 2004

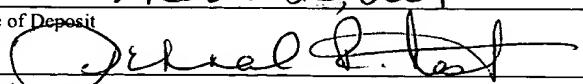
  
Ming Ching Lo  
13F, No. 187, Chang-Shu 1<sup>st</sup> Rd., Hischih,  
Taipei-Hsien, Taiwan, Taiwan, R.O.C.

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Deborah R. Nast

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Client's Ref. No.: 0643-5299US

## COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled LAC SHUTTLE VECTORS, the specification of which was filed on February 7, 2001 as Application Serial No. 09/778,516.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

Country	Application No.	Filing Date	Priority Claimed
Taiwan	89110235	May 26, 2000	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Y. Rocky Tsao, Reg. 34,053  
John F. Hayden, Reg. 37,640  
Jeffrey D. Hsi, Reg. No. 40,024  
Timothy A. French, Reg. No. 30,175

Frank R. Occhiuti, Reg. 35,306  
Harold H. Fox, Reg. No. 41,498  
John T. Kendall, Reg. No. 50,680

Direct all telephone calls to Y. ROCKY TSAO at telephone number (617) 542-5070.

Direct all correspondence to the following:

26161  
PTO Customer Number

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full Name of Inventor: WEI-YU LO

Inventor's Signature: Wei - Yu Lo Date: Feb. 17, 2004  
Residence Address: Taipei, Taiwan  
Citizenship: Taiwan  
Post Office Address: 4F, No. 8, Alley 1, Lane 102, Sec. 2, Tung-Hua Road, Peitou District, Taipei, Taiwan

**Combined Declaration and Power of Attorney**  
Page 2 of 2 Pages

Full Name of Inventor: PEI-RU LIAU

Inventor's Signature: Pei - Rue Liao

Date: 2/11 2004

Residence Address: Taipei, Taiwan

Citizenship: Taiwan

Post Office Address: 2F, No. 318, Shih-Pai 2<sup>nd</sup> Rd., Taipei, Taiwan

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Alexandria, VA 22313-1450

**CONSENT BY ASSIGNEE UNDER 37 CFR § 1.48(a)**

As an officer of the concern to which the above captioned application has been assigned, I hereby consent to the correction of inventorship of this application from the naming Wei-Yu Lo, Ming Ching Lo, and Pei-Ru Liau as joint inventors to the naming Wei-Yu Lo and Pei-Ru Liau as joint inventors.

Date: Feb 4, 2004

*Chien-Chi Lin, Financial Manager*  
Chien-Chi Lin  
Financial Manager  
Anawrahta Biotech Co., Ltd.  
Rm. 43, Building A, No. 112, 18th Fl., Sec. 1  
Shing-Tai-Wu Road  
Shi-Jr, Taipei-Shien, 221  
Taiwan, R.O.C.

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*Daniel D. Lee*  
Signature

*Deborah R. Naso*  
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Date: Feb 4, 2004

Chien-Chi Lin, Financial Manager  
Chien-Chi Lin  
Financial Manager  
Anawrahta Biotech. Co., Ltd.  
11F., NO. 27-6,  
Sec.2 Jungjeng E. Road, Danshuei Jen,  
Taipei, 251,  
Taiwan, R.O.C.

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Signature: Daniel R. West

Typed or Printed Name of Person Signing Certificate: DeBORAH R. WEST



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**CONDITIONAL PRELIMINARY AMENDMENT**

Upon grant of Petition to Correct Inventorship under 37 C.F.R. § 1.48(a) submitted herewith, kindly amend the inventorship from the naming of Wei-Yu Lo, Ming Ching Lo, and Pei-Ru Liau as joint inventors to the naming of Wei-Yu Lo and Pei-Ru Liau as joint inventors.

Please charge any additional fees or make any credits to Deposit Account 06-1050.

Date: 3-23-04

Y. Rocky Tsao  
Y. Rocky Tsao, Ph.D., J.D.  
Attorney for Applicants  
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